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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-081001	Application No. 09/ 11/8/2001
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Shunpei Yamazaki et al.	
		Filing Date October 19, 2001	Group Art Unit 2875 2874

### U.S. Patent Application Documents

Examiner Initial	Desig. ID	Patent / Application Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
Wm	AA	09/748,166	Pending	Yamazaki	-	-	12/27/2000
Wm	AB	09/929,264	Pending	Yamazaki et al.	-	-	08/15/2001
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	AK						

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
Wm	AL	0 732 868 A1	09/18/1996	Europe	-	-	In English	
Wm	AM	8-315981	11/29/1996	Japan	-	-	Abstract	
Wm	AN	10-321372	12/04/1998	Japan	-	-	Abstract	
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	AP							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature <i>Manali Putrag</i>	Date Considered 7/3/03
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

IDS/#5

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-081001	Application No. 09/986,425
		Applicant Shunpei Yamazaki et al.	
		Filing Date November 8, 2001	Group Art Unit <del>2811</del> 2879

**Information Disclosure Statement**  
by Applicant  
(Use several sheets if necessary)

(37 CFR §1.98(b))

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Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							

Other Documents (include Author, Title, Date, and Place of Publication)		
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<i>mmg</i>	AP	T. Tsutsui et al.; "Electroluminescence In Organic Thin Films"; <i>Photochemical Processes in Organized Molecular Systems</i> ; pp. 437-450; 1991
<i>mmg</i>	AQ	M.A. Baldo et al.; "Highly Efficient Phosphorescent Emission from Organic Electroluminescent Devices"; <i>Nature</i> , Vol. 395; pp. 151-154; September 10, 1998
<i>mmg</i>	AR	M.A. Baldo et al.; "Very High-Efficiency Green Organic Light-Emitting Devices Based on Electrophosphorescence"; <i>Applied Physics Letters</i> , Vol. 75, No. 1; pp. 4-6; July 5, 1999
<i>mmg</i>	AS	T. Tsutsui et al.; "High Quantum Efficiency in Organic Light-Emitting Devices with Iridium-Complex as a Triplet Emissive Center"; <i>Japan Journal of Applied Physics</i> , Vol. 38, Part 38B, pp. L1502-L1504; December 15, 1999

Examiner Signature <i>Maricela Amador</i>	Date Considered <i>7/3/03</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	